

www.companiesoffice.govt.nz/disclose

Notice of enforcement action or exercise of power by Australian regulator

Regulation 273(1) Item 7 Financial Markets Conduct Regulations 2014

Note — This notice must be lodged as soon as practicable after the action is taken or the power is exercised and no later than five (5) working days after the day on which the action is taken or the power is exercised.

Offeror name

Disclose offer number

Australian number (ARSN) (if applicable)

1. I hereby give notice that on

Enter the date – DD/MM/YYYY

the Australian Securities and Investments Commission (ASIC):

Began enforcement action in relation to the offer or the Offeror

Exercised a power under law in relation to the offer or the Offeror

2. Details of enforcement action or exercise of power (For example, banning order)

3. Signed by

Authorised signatory

Full name of signatory

Date

Note — If electronic signatures are being provided, please refer to the applicable [guidelines on the use of electronic signatures](#).

Notice completed by:

Name:

Telephone (optional):

Email address (optional):

Address for communications from the Registrar: