

[www.companiesoffice.govt.nz/disclose](http://www.companiesoffice.govt.nz/disclose)

## Notice of grant of, amendment to or revocation of the exemption or declaration

Regulation 273(1) Item 5 or Regulation 273(1) Item 6 Financial Markets Conduct Regulations 2014

**Note** — *This notice must be lodged as soon as practicable. Notices required by Item 5 in the table in Regulation 273(1) must be lodged no later than 5 working days after the date of the grant of, amendment to or revocation of the exemption or declaration. Notices required by Item 6 in the table in Regulation 273(1) must be lodged no later than 10 working days after the date of the grant of, amendment to or revocation of the exemption or declaration.*

**Offeror name**

**Disclose offer number**

**Australian number (ARSN) (if applicable)**

**1. I hereby give notice that on**

*Enter the date – DD/MM/YYYY*

the Australian Securities and Investments Commission (ASIC):

- Granted a class exemption or declaration relevant to an offer of a financial product
- Amended a class exemption or declaration relevant to an offer of a financial product
- Revoked a class exemption or declaration relevant to an offer of a financial product
- Granted, amended or revoked an exemption or declaration relevant to the offer that is specific to the offer or the Offeror.

**2. Specify the exemption or declaration**

Notice of grant of, amendment to or  
revocation of the exemption or declaration (continued)

Offeror name

**3. Copy of the exemption or declaration, or amended exemption or declaration (if applicable)**

A copy of the exemption or declaration, or amended exemption or declaration (with amendments marked), is attached.

**4. Signed by**

Authorised signatory .....

Full name of signatory

Date

*Note — If electronic signatures are being provided, please refer to the applicable [guidelines on the use of electronic signatures](#).*

**Notice completed by:**

Name:

Telephone (optional):

Email address (optional):

Address for communications from the Registrar: