



www.companiesoffice.govt.nz/disclose

Notice to Registrar of intention to make an offer under passport fund recognition regime

Regulations 26 & 27 Financial Markets Conduct (Asia Region Funds Passport) Regulations 2019

Offeror name

Disclose offeror number

1. I hereby give notice that the Offeror named above:

- › intends to make an offer of managed investment products in one or more participating economies under the passport fund recognition regime, and
- › confirms that this notice has been given to the Registrar not later than the time when it notifies the host regulator of the intention to make the offer, and
- › confirms that the scheme is registered on the register of managed investment schemes and is identified as a passport fund on that register.

2. Participating economy or economies

Australia

Enter dates — DD/MM/YYYY

The date the Offeror is intending to offer in Australia is:

The offer period begins in Australia on:

and ends on:

Japan

Enter dates — DD/MM/YYYY

The date the Offeror is intending to offer in Japan is:

The offer period begins in Japan on:

and ends on:

Republic of Korea

Enter dates — DD/MM/YYYY

The date the Offeror is intending to offer in the Republic of Korea is:

The offer period begins in the Republic of Korea on:

and ends on:

Thailand

Enter dates — DD/MM/YYYY

The date the Offeror is intending to offer in Thailand is:

The offer period begins in Thailand on:

and ends on:

Offeror name

3. Details of the managed investment products being offered are

4. Signed by

Authorised signatory

Full name of signatory:

Date:

Note — If you're providing electronic signatures please refer to our [guidelines on the use of electronic signatures](#).

Notice completed by:

Name:

Telephone (optional):

Email address (optional):

Address for communications from the Registrar: