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Opt-in notice — Asia Region Funds Passport

Regulations 14 & 15 Financial Markets Conduct (Asia Region Funds Passport) Regulations 2019 and Section 575(2) Financial Markets Conduct Act 2013

Operator name

Operator's FSP number

NZ overseas offeror registration number

Foreign passport fund registration number in home jurisdiction (if applicable)

Name of the regulated CIS (if applicable)

Required if this foreign passport fund is a sub-fund of a regulated CIS

1. I hereby give notice that the operator named above:

- › intends to make an offer under the recognition regime in accordance with Part 1 of the Financial Markets Conduct (Asia Region Funds Passport) Regulations 2019, and
- › confirms the notification process described in section 6 Annex 2 has been completed, and
- › submits to the jurisdiction of the courts of New Zealand.

2. The proposed offer period for the offer of managed investment products

in New Zealand begins on

and ends on

Enter the date — DD/MM/YYYY

Enter the date — DD/MM/YYYY

3. Details of the managed investment product being offered are:

Operator name

4. Persons in New Zealand authorised to accept service on behalf of the operator

Full name and address in New Zealand of one or more persons resident or incorporated in New Zealand who are authorised to accept service at that address on behalf of the operator

5. Checklist

The following documents must accompany this notice:

- The statement required by regulation 24(1A)(b) Financial Markets Conduct Regulations 2014.
- The PDS for the offer and the other information that must be supplied under section 48 of the Financial Markets Conduct Act 2013.
- A copy of every exemption or modification granted or given by the home regulator that is relevant to the offer.
- The constituent document of the foreign passport fund.
- A copy of the most recent financial reporting statements for the foreign passport fund (if any) that are prepared in accordance with the laws of the home economy together with a copy of the auditor’s report on those statements (if any).

6. Signed by

Authorised signatory

Full name of signatory:

Date:

Note — If you’re providing electronic signatures please refer to our [guidelines on the use of electronic signatures](#).

Opt-in notice completed by:

Name:

Telephone (optional):

Email address (optional):

Address for communications from the Registrar: